
Global security and privacy policies

Introduction

Evolution Securities China Limited is authorised and regulated in the UK by the Financial Services Authority to conduct investment business.

We protect you in two ways; through our Global Security Procedures and our Global Privacy Policy:

Global security procedures

Our comprehensive Client Technology Security Policy is available to clients of the firm upon request. What follows is a general statement of our overall approach to technology security. Evolution Securities China provides safeguards through a broad range of policies, methodologies, procedures, technologies, and tools to measure, improve, monitor and guide the internet and technology infrastructure offered by the firm, which taken as a whole are intended to provide essential and effective protection from viruses, hackers, fraudulent activities and threats to your privacy; these include but are not limited to the deployment of firewalls, intrusion detection and prevention systems, and vulnerability management approaches as well as a range of other technology solutions.

Global privacy policy

Personal protection from technology based fraud starts with a recognition of the extent of the threat and an awareness of the sources of risk you may face:

- **Insecure E-Mail.** Start by recognising that e-mail is an insecure communication technology
- **Forged E-Mails.** Next recognise that e-mail is easy to forge, as a consequence be cautious with any e-mail that appears to be from a friend or company you do business with if it requests personal information or suggests installing any software
- **Unsolicited E-mails & Pop-up Messages.** Unsolicited e-mails or pop-up messages soliciting your personal information, e.g. your name, address etc., should be ignored
- **Phishing.** "Phishing" is an Internet scam that involves sending 'spoof' e-mails, purporting to be from a well-known firm, inviting you to visit a Web site. The website is likely to look and feel like the real thing, however it is in fact a fraudulent site. Victims are asked to provide personal information or to install software on their computers, this software monitors your activities and sends useful information about you to the fraudsters
- **Accessing Web Sites.** Only go to trusted Web sites that you have first accessed from a reputable source. Once you have accessed a site, add it to your browser's bookmarks / favourites list as a way of guaranteeing using the correct URL every time
- **Accessing Web Sites via an E-mail.** If you receive an email containing a URL, don't click on the link, rather use either your personal bookmarks or type in the address yourself and then bookmark it

If you receive an e-mail from Evolution Securities China Limited that you believe to be fraudulent or visit an Evolution Securities China website that seems fraudulent, please forward details to 'feedbackform@evosecurities.com'. We will investigate and respond back to you.

Useful links

UK Home Office	www.homeoffice.gov.uk
UK Metropolitan Police	www.met.police.uk
UK Financial Services Authority	www.fsa.gov.uk/pages/consumerinformation/
The Anti Phishing Working Group	www.antiphishing.org